

National University Corporation Nagaoka University of Technology Degree Rules

April 1, 2004

Rules No. 38

(Purpose)

Article 1 These Rules prescribe necessary matters related to the conferral of degrees by Nagaoka University of Technology (hereinafter, the “University”) based on the provisions of Article 13 of the Degree Rules (Ordinance of the Ministry of Education, Science and Culture No. 9 of 1953) as well as Article 47 and Article 70 of the National University Corporation Nagaoka University of Technology Rules.

(Degree)

Article 2 (1) The University shall confer bachelor’s, master’s, doctoral, and master’s (professional) degrees.

(2) The name of the relevant major field shall be appended to the degree certificate in accordance with the following classifications.

| Degree | Name of Major Field |
|--------------------------------------|---------------------|
| Bachelor’s Degree | Engineering |
| Master’s Degree | Engineering |
| Doctoral Degree | Engineering |
| Master’s (Professional) Degree | System Safety |

(Requirements for Degree Conferral)

Article 3 (1) The bachelor’s degree shall be conferred to students who have graduated from the University.

(2) The master’s degree shall be conferred to students who have completed a master’s program at a graduate school of the University.

(3) The doctoral degree shall be conferred to students who have completed a doctoral program at a graduate school of the University.

(4) The master’s (professional) degree shall be conferred to students who have completed the professional degree course at the graduate school of the University.

(5) In addition to those prescribed in paragraph (3), the doctoral degree may also be conferred to persons who have passed the doctoral dissertation screening process conducted by the graduate school of the University and to persons who have been confirmed to have academic ability equivalent to or greater than a person who has completed a doctoral program at the graduate school of the University.

(Application for Thesis/Dissertation Screening, etc.)

Article 4 (1) When a student of a graduate school of the University (excluding the professional degree course) applies for screening of their thesis/dissertation, the student must submit the following documents to the President of the University (hereinafter, the “President”) by the prescribed date.

- (i) In the case of an application for master’s thesis screening, the following documents are required: The designated application form for thesis screening and a master’s thesis
 - (ii) In the case of an application for doctoral dissertation screening, the following documents are required: The designated application form for dissertation screening, a doctoral dissertation, an abstract of dissertation, and a list of papers
- (2) The screening of the master’s thesis prescribed in item (i) of the preceding paragraph may, when the President finds it appropriate after hearing opinions of the Faculty Meeting, be substituted with the screening of research outcomes on a specific topic.
- (3) Applicants for the conferral of a doctoral degree under the provisions of paragraph (5) of the preceding article shall submit to the President the following documents: The designated application form for the degree, a doctoral dissertation, an abstract of dissertation, a list of papers, a curriculum vitae, and the dissertation screening fee of 57,000 yen. However, the dissertation screening fee shall be waived for students who have attended the doctoral program at the graduate school of the University for the designated number of years or more, obtained the required credits, had withdrawn from the program after receiving the necessary research guidance, and applied for doctoral dissertation screening within one year from the date of withdrawal.
- (4) The submitted thesis/dissertation, etc. and the fee paid for the thesis/dissertation screening will not be returned.

(Thesis/Dissertation, etc.)

Article 5 (1) Only one thesis/dissertation, etc. shall be submitted for each applicant. Applicants shall submit one copy of the master’s thesis or one item of the research outcomes on a specific topic, or three copies of the doctoral dissertation. However, other papers or results of research may also be submitted as supplementary materials.

- (2) When it is deemed necessary for screening, the President may require the submission of translated versions of the thesis/dissertation, models, samples, or other materials.

(Screening Reference)

Article 6 After having accepted an application for the thesis/dissertation screening under the provisions of Article 4, the President shall refer the screening to the Faculty Meeting and hear their opinions related to conferral of the degree.

(Screening Committee)

Article 7 (1) When the screening is referred under the provisions of the preceding article, the Faculty Meeting shall establish a Screening Committee comprising three or more teaching faculty members in charge of the Graduate School of Engineering.

(2) When screening the thesis/dissertation or the research outcomes on a specific topic, the Screening Committee may, following discussion by the Faculty Meeting, obtain assistance from teaching faculty, etc. of the Graduate School of Management of Technology of the University, or those of other graduate schools or research institutions.

(Screening, etc. of Thesis/Dissertation, etc.)

Article 8 (1) The Screening Committee shall undertake the screening of the thesis/dissertation or the research outcomes on a specific topic, conduct final examinations, and confirm that the applicant has academic ability equivalent to or greater than a person who has completed the doctoral program in the graduate school of the University (hereinafter, “confirmation of academic ability”) prescribed in the provisions of paragraph (5) of Article 3.

(2) Screening of the thesis/dissertation or the research outcomes on a specific topic shall be conducted in accordance with the criteria prescribed separately.

(3) The final examination shall be conducted as an oral or written examination, and shall focus mainly on the content of the thesis/dissertation or the research outcomes on a specific topic, on relevant subjects within the applicant’s field of study, and when deemed necessary, on a foreign language subject designated by the Screening Committee.

(4) Confirmation of academic ability shall be conducted through an oral or written examination. In such cases, the evaluation of ability in a foreign language subject designated by the Screening Committee shall be administered.

(5) The final examination might be conducted instead of the confirmation of academic ability for students who fulfill the following criteria: students who have attended the doctoral program at the graduate school of the University for the designated number of years or more, obtained the required credits, had withdrawn from the program after receiving the necessary research guidance, and applied for doctoral dissertation screening within one year from the date of withdrawal.

(Screening Period)

Article 9 (1) The Screening Committee shall, in principle, complete the screening of the thesis/dissertation or the research outcomes on a specific topic, and conduct the final examination related to the application for the thesis/dissertation screening under the provisions of paragraph (1) of Article 4, during the period when the applicant is attending the University.

(2) The Screening Committee must complete the screening of the doctoral dissertation and the confirmation of academic ability related to the application for degree conferral under the provisions of paragraph (3) of Article 4, within one year from acceptance of the application. However, when there are special circumstances, the screening period might be extended following discussion by the Faculty Meeting.

(Report of Screening Results)

Article 10 After completing screening of the thesis/dissertation or the research outcomes on a specific topic, and the final examination or the confirmation of academic ability, the Screening Committee must immediately report to the Faculty Meeting with the following documents, along with the Committee's recommendations on whether or not the degree should be conferred.

(i) For a master's degree, the following documents are required: Screening results of the master's thesis or the research outcomes on a specific topic, and the results of the final examination

(ii) For a doctoral degree, the following documents are required: The abstract of the doctoral dissertation content, the abstract of the results of the dissertation screening, the screening results of the doctoral dissertation, and the results of the final examination or the abstract of the results of the confirmation of academic ability

(Review of Degree Conferral)

Article 11 The Faculty Meeting shall review whether the degree should or should not be conferred based on the report described in the preceding article, and shall report the result to the President along with their opinions related to conferral of the degree.

(Conferral of Degree)

Article 12 Based on the opinions mentioned in the preceding article, the President shall confer the designated degree certificate to those on whom the degree should be conferred or who have completed the professional degree course, and shall notify those who will not receive the degree.

(Publication of Doctoral Dissertation Abstracts, etc.)

Article 13 After having conferred a doctoral degree, the President shall publish the abstract of the dissertation contents and the abstract of the results of the dissertation screening via the internet within three months from the date on which the doctoral degree was conferred.

(Publication of Doctoral Dissertation)

Article 14 (1) A person to whom the doctoral degree has been conferred shall publish the dissertation within one year from the date on which the doctoral degree was conferred. However, this shall not apply if the dissertation has already been published before conferral of the degree.

(2) Despite the provisions of the preceding paragraph, for cases in which there are special circumstances, a person who has been conferred the doctoral degree may publish a summary of the contents instead of the full text of the dissertation with the approval of the University. In such cases, the University shall make the full text of the dissertation available upon request.

(3) The method of publication used by a person who has been conferred the doctoral degree under the provisions of preceding two paragraphs shall be that of online publication on the internet, in cooperation with the University.

(Title of Degree)

Article 15 A person who has been conferred a degree from the University shall append the name of the University when using the title of the degree.

(Report of Conferral of Doctoral Degree)

Article 16 After having conferred a doctoral degree, the President shall report conferral of the degree to the Minister of Education, Culture, Sports, Science and Technology within three months from the date on which the degree was conferred.

(Revocation of Degree)

Article 17 (1) When finding that a person who was conferred a degree has acquired the degree by dishonest means, the President shall, after hearing opinions of the Faculty Meeting, revoke the degree, have the degree certificate returned, and disclose the revocation of the degree.

(2) When a person to whom the degree was conferred is determined to have committed any disgraceful act upon the degree, the degree may be similarly revoked as described in the preceding paragraph.

(Format of Degree Certificate)

Article 18 The format of the degree certificate shall be as follows: Appended form 1 in the case of paragraph (1) of Article 3; Appended form 2 in the case of paragraph (2); Appended form 3 in the case of paragraph (3); Appended form 4 in the case of paragraph (4); and Appended form 5 in the case of paragraph (5).

(Miscellaneous Provisions)

Article 19 In addition to the matters prescribed in these Rules, necessary matters related to the conferral of degrees shall be provided for separately.

Supplementary Provisions

These Rules will come into effect from April 1, 2004.

Supplementary Provisions (Rules No. 75 of December 20, 2004)

These Rules will come into effect from December 20, 2004.

Supplementary Provisions (Rules No. 18 of March 1, 2006)

These Rules will come into effect from April 1, 2006.

Supplementary Provisions (Rules No. 9 of November 14, 2012)

(1) These Rules will come into effect from November 14, 2012.

(2) The screening of thesis/dissertation or the research outcomes on a specific topic that has been actually applied under the provisions of Article 4 at the time of the enforcement of these Rules

shall, despite the revised provisions of paragraph (2) of Article 8, be as before.

Supplementary Provisions (Rules No. 15 of March 26, 2013)

- (1) These Rules will come into effect from April 1, 2013.
- (2) The provisions of Article 13 revised by these Rules shall apply in cases where a doctoral degree was conferred after the date of the enforcement of these Rules. Cases where a doctoral degree was conferred before the date shall be as before.
- (3) The provisions of Article 14 revised by these Rules shall apply in cases where a doctoral degree was conferred after the date of the enforcement of these Rules. Cases where a doctoral degree was conferred before the date shall be as before.

Supplementary Provisions (Rules No. 8 of March 26, 2015)

These Rules will come into effect from April 1, 2015.

(Appended forms are omitted.)

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